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CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)

Applicant(s): Feist, et al.

Docket No.

RD28432

Serial No.
09/681,817Filing Date
June 11, 2001Examiner
A. CaoGroup Art Unit
2652

Invention: DATA STORAGE MEDIA AND METHOD FOR PRODUCING THE SAME

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Transmittal of Information Disclosure Statement (2 pgs); Form PTO-1449 (1 pg); Cited Art (2 references) (10 pgs)

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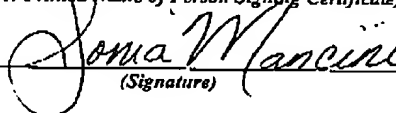
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
RD28432

In Re Application Of: Feist, et al.

Serial No.
09/681,817Filing Date
June 11, 2001Examiner
A. CaoGroup Art Unit
2652

Title: DATA SOTAGE MEDIA AND METHOD FOR PRODUCING THE SAME

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)

RD28432

Application Number

09/681,817

Applicant(s)

Feist, et al.

Filing Date

June 11, 2001

Group Art Unit

2652

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|-----|-----------------|------------|-------------------|-------|----------|-------------------------------|
| | 1 | 6,099,287 | 08/08/2000 | Higashida, et al. | 425 | 190 | 04/14/1998 |
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FOREIGN PATENT DOCUMENTS

| REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|-----|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|--|---|--|
| | 2 | Japanese Patent No. JP1153263; Publication Date 1989-06-15; "Device for Polishing Back of Optical Disk Molding Stamper"; Abstract Only; 1 page |
| | | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.